

Adviser Profile

The financial services offered to you by:

GUAN UN (ASIC No. **381337**), as an Authorised Representative of MADISON FINANCIAL GROUP PTY LTD ("Madison") and as an representative of:

ANXIN PTY LTD trading as ANXIN WEALTH MANAGEMENT

ABN No: 47 145 533 044
ASIC No: **381338**
Address: Suite 207 Sunnybank Times Square
250 McCullough Street
SUNNYBANK QLD 4109
Telephone No: **(07) 3727 8802**
Fax No: (07) 3727 8899
Email: gun@anxinwm.com.au
Website: www.anxinwm.com.au



I have been providing financial services advice to clients since 2001 and have been a representative of Madison since 2 September 2010. I hold the following qualifications/Professional Memberships:

- Diploma Financial Services (Financial Planning)
- Member of the Financial Planning Association (FPA)
- Certified Financial Planner (Financial Planning Association of Malaysia, FPAM)
- Bachelor of Commerce (UNSW)

I am authorised by Madison to provide personal advice, general advice and to deal in:

- Deposit Products
- Non-Basic Deposit Products
- Government Debentures, Stocks and Bonds
- Life Insurance Investments Products
- Life Insurance Risk Products
- Managed Investments
- Securities
- Retirement Savings Account Products
- Superannuation
- Margin Lending & Geared Investments

As part of my services to you, I am able to provide advice on the following:

- Wealth Creation and Retirement Planning
- Personal and Corporate Superannuation
- Personal and Business Risk Insurance
- Managed Portfolio Services
- Centrelink Planning Advice
- Cash Flow and Debt Reduction Strategies
- Portfolio Review and Ongoing Service
- Direct Equities
- Self Managed Superannuation Funds
- Gearing Strategies

How do I charge my clients for my services?

The first initial investment consultation is at no cost to you. For preparation of a strategy recommendation and implementation we quote our fees on an individual basis depending upon the type of advice required and the level of complexity. We will always advise you of our terms of engagement prior to commencing work on your behalf.

We calculate ongoing fees on either a fixed dollar amount of up to \$400 per hour and/or a percentage amount based on funds under management. All costs quoted are GST inclusive. Our policy is generally to rebate all commissions on investment products to the client and charge a fee for our professional advice and services however any commissions paid to us from product providers will be specified at the time of the recommendation.

For Risk Insurance products the initial commission payable by the product provider will be between 0% - 125% of the first year premium. For example, if your first year premium amounted to \$2,000 we will receive up to \$2,500 in commission (\$2,000 x 125%). We may also receive ongoing commission from risk insurance providers.

How am I paid?

- (a) All fees and gross commission payments are shared between my practice and Madison under a split sharing arrangement fixed at 95%. For instance every \$1,000 of income received by Madison will generate \$950 of income to me.
- (b) The practice in which I am employed receives fees and commissions from business generated, and remunerates me by way of salary. As owner of Anxin Wealth Management, I am entitled to the profits generated by the business.
- (c) I am required to keep a Register of Alternative Remuneration which shows any payment other than fees and commissions which may be received by me from fund managers or product providers. This register is available for inspection with 7 days notice.

Important Note

The Adviser Profile forms an essential part of the Financial Services Guide. Your Financial Services Guide is not complete without it.